





The University welcomes feedback from students to show them what is going well and what can be improved. The University also realises that there may be occasions where things are not going well, and you want to make a complaint about your experience. In most situations it's expected that you raise a complaint informally before submitting a formal complaint. However, should there be an instance where raising the issue informally is not appropriate, this is something the Advice Service can help you to decide. This guide explains how to give feedback, and how to raise a complaint at all stages.

## How can I give feedback to the University?

The University encourages students to take an active role in improving their University experience, so if you want to give feedback on anything at all, you can do so in one or more of the following ways:

- Direct communication (by email or in person, by telephone or Teams\*)
- Via your Personal Tutor
- Student Staff Committees (SSCs)
- Module evaluations
- Student satisfaction surveys
- Student representatives (via the Students' Union)
- Via the questions and feedback section of the MyUoL App

\*Please note, if you choose to give feedback verbally, it can be beneficial to ask for the staff member to take notes of your conversation and to provide you with a copy.

#### How do I make an informal complaint?

If you're unhappy with any aspect of the University, you should bring this to the University's attention as soon as possible.

A full list of Academic departments and Professional Services can be found <u>here</u>. Click on the relevant department and you will find the correct email. However, if you're unsure, you can email <u>advice@le.ac.uk</u>. The University expects you to try and resolve matters informally first, as soon as possible with the person or service concerned, before you submit a formal complaint. This may include your Head of Department, Personal Tutor or non-academic department.

Hopefully, through this communication your problem will be solved quickly and effectively. However, if you're not satisfied with the outcome of your informal complaint, you can proceed to the formal **Stage One Complaint process**.

Please note that University Accommodation and SU Complaints have a different process (see further into this document for info)

# How do I make a Stage One Complaint?

A formal Stage One Complaint must be made <u>within three months</u> of the situation occurring. If you submit a complaint after this time, it will usually be deemed out of time, unless you can demonstrate, with evidence, why you weren't able to submit the complaint on time.

To submit a Stage One Complaint, you need to download and complete a Stage One Complaint Form <u>(found here)</u>. On the form you need to include your personal details, and detailed information about your complaint. This includes an explanation of what you're complaining about, what steps you would like the university to take to resolve your complaint, who you've already discussed your complaint with (at the informal stage – and any evidence of this (i.e. email threads), the outcome of these discussions, and why you're still unhappy. If you have any evidence to support your complaint, you should also include this.

Once complete, your form needs to be submitted to the Student Conduct and Complaints Team at <u>complaints@le.ac.uk</u>.

It's also a good idea to have a look through the University's <u>Regulations governing</u> <u>Student Complaints</u>, to gain a better understanding of the process and what to expect from it.

## **Group Complaints**

If you're submitting the complaint as part of a group, you must each submit an individual form, however it's fine for the content of the form to be the same. In this situation you should make clear on the form that your complaint is a group complaint, and one person should be nominated as lead contact.

### What happens next?

Once your complaint has been submitted, the Student Conduct and Complaints Team will acknowledge your complaint and explain how it will be handled. If the complaint is eligible, it will be considered by a Stage One Senior Officer, who will either start an investigation, or appoint an Investigating Officer to do so on their behalf.

You may be asked to provide further information at this stage, or you may be invited to attend a meeting to discuss your complaint.

The Senior Officer will then consider all the information and provide a <u>full response</u> <u>within 28 days</u>. This will cover how your complaint was investigated, whether your complaint has been upheld or dismissed, with reasons for this decision, and if your complaint was upheld, whether you are being offered any practical or financial remedy. If for whatever reason the Senior Officer is unable to meet the 28-day deadline, they will write to you to outline the reasons for the delay and give details of a new deadline.

Once you receive the response, you'll need to decide whether to accept this remedy. If you do accept, the case will be closed, but if you do not accept you can request for the complaint to be taken to Stage Two.

#### How do I make a Stage Two complaint?

If <u>you're not satisfied with the outcome</u> of your complaint at Stage One, you can ask for the decision to be reviewed at Stage Two.

Reviews of Stage One can be considered on one or more of the following grounds:

• There is evidence of procedural irregularity in the Stage One consideration of the matter.

- There is new evidence which, for an acceptable reason, was not submitted at Stage One.
- There is evidence previously available at Stage One which requires further consideration.

If at this stage you wish to have a new matter considered, it's important that you give a reason for not submitting this material at Stage One.

Normally the review stage doesn't involve further investigation of the issues you raised at Stage One, so if you're simply unhappy with the complaint outcome, this won't be in itself an acceptable reason for requesting a review of the decision.

To submit a Stage Two complaint, you'll need to complete **the Stage Two Complaint Form** found here and send it to <u>complaints@le.ac.uk</u>. This can be done via email at . The deadline for doing this is <u>28 days from the conclusion of Stage One</u>.

#### What happens at Stage Two?

Once the Student Conduct and Complaints Team have received your request, they will acknowledge receipt and will allocate your complaint to a Stage Two Senior Officer. This will usually be a Pro-Vice-Chancellor if your complaint is about an academic issue, or for non-academic complaints a Stage One Senior Officer who has had no prior involvement in your case.

The Stage Two Senior Officer will consider the following questions:

- Were the relevant procedures followed at Stage One?
- Was the outcome reasonable in all the circumstances?
- Have clear reasons been given explaining why the complaint was rejected at the formal stage?
- If new material evidence has been provided, have valid reasons been given for not supplying this earlier?

The Stage Two Senior Officer may conclude:

- That your review request doesn't demonstrate eligible grounds and dismiss your complaint.
- That eligible grounds of review have been demonstrated and that your complaint may be resolved through the offering of a remedy.
- That eligible grounds of review have been demonstrated and your complaint may be referred for further consideration by a Complaint Panel.
- If you are offered a remedy but do not wish to accept it, you are entitled to have your complaint proceed to a Panel

You should normally be notified of the Stage Two Senior Officer's decision within 28 days of receipt of the Stage Two Complaint. If this is likely to take longer the Stage Two Senior Officer will write to you explaining why.

# What happens at a Complaint Panel?

If your complaint is referred to a Stage Two Complaint Panel, the Panel will consist of:

- The Stage Two Senior Officer who initially reviewed your complaint (who acts as Chair).
- A Pro-Vice Chancellor or Head of College (for a complaint about an academic matter), or a Stage One Senior Officer (for a non-academic complaint), without prior involvement in your complaint.
- Another senior member of staff of the University without prior involvement in your complaint.
- A member of professional services staff will act as Secretary to the Panel.

The University will arrange a meeting of the Panel and you'll be invited to attend this in person or via Teams. You'll be given <u>21 calendar days' notice of the meeting</u>, and you can choose to take a friend or representative with you if you wish. <u>Senate Regulation 12</u> explains who this can be. A member of the Advice team can attend this meeting with you, as a companion but cannot act as your representation.

Before the meeting you, and everyone else attending, will be sent a copy of the paperwork, such as the procedure to be followed, and the details of anyone who will be there, including witnesses if relevant.

If you have good reason not to attend the hearing, you can request a 'paper-based consideration' at this stage. This means there will be no hearing, but written submissions will be collected from witnesses, which you'll have <u>14 days</u> to submit a response to. The Panel will then meet to make its decision in your absence.

Hearings have several stages, these normally being:

- You or your representative can make an opening statement and may be questioned by the Panel.
- If called as witness, the Stage One Senior Officer can make a statement, and may be questioned by the Panel.
- If there are any other witnesses they will be called, can make a statement, and may be questioned.
- You or your representative can make your final statement.
- The Panel will retire to make its decision.

The panel, you or your representative will all have the chance to ask questions to the witnesses.

You'll normally receive a response to Stage Two <u>within 7 calendar days of the hearing</u>, or of the deadline of your statement for a paper-based consideration. If the panel can't provide a decision within this time, you'll be informed in writing of the expected decision date, with reasons for the delay. The letter stating the final decision will include a completion of procedures letter. This will signify the end of the university complaints procedure, however if <u>you're still unhappy</u> with the outcome you can submit a complaint to the OIA (Office of the Independent Adjudicator).

# How do I submit a complaint to the OIA (Office of the Independent Adjudicator)?

Once you receive a completion of procedures letter, if you believe the University's complaint process wasn't followed properly, or you feel that the outcome is unreasonable, you can submit a complaint to the OIA.

The OIA are an external, independent body set up to review student complaints. You'll have **<u>12 months from the date on your outcome letter</u>** to submit an OIA complaint and can do so by completing the form found on their <u>website</u>.

### How do I make a complaint about the Students' Union?

If you're unhappy with any aspect of the Students' Union (SU), you should bring this to their attention as soon as possible. Please email your complaint to <u>sucomplaints@leicester.ac.uk</u>

Through this communication your problem will hopefully be solved quickly and effectively. Information about what type of complaints fall under the SU's jurisdiction can be found <u>here</u> and the SU's Complaints policy can be found <u>here</u>.

## How do I make a complaint about University Accommodation?

To complain about University owned accommodation please note that their policy is different to the Senate Regulation 12 Complaints Process. Your informal complaint should be emailed to <a href="mailto:accommodation@le.ac.uk">accommodation@le.ac.uk</a> within 6 weeks of the issue/s occurring. You should receive a response from the Accommodation team within 10 working days.

Once you've received a response - if you are unhappy with the resolution or feel that the complaint requires further investigation, you can contact the Advice Team by clicking <u>here</u>.

For more information about the Accommodation's Complaints Procedure, you can download it <u>here</u>.

### What can the Advice Service do to help?

Here in <u>Advice Service</u>, we provide confidential academic and housing advice. We also offer guidance and signposting to Employment Rights, Legal, Wellbeing Services and anything else that enriches your University experience We are an independent organisation and separate from the University. Therefore, we are not involved in the decision-making processes of the University and we do not have access to any of the data on academic and/or personal records.

If you want to give feedback to the University, or need advice regarding a complaint, we can help you work out who the best person to approach about this would be. We can also read your complaint draft before submitting it and provide feedback, although we cannot write the complaint for you.

\*Should your complaint be regarding the SU then the Advice Service will not be involved in this process as it is an SU Department.

Please complete our <u>contact form</u>, and an Adviser will be able to support you throughout the process.

You are able to visit our Reception Desk between 11am and 3pm, Monday to Friday in the Percy Gee Building.

#### Who else can I talk to about my complaint?

The following people and services may be able to help you with various aspects of your complaint:

To raise your complaint informally, you should contact:

Head of Department/ Service

**Personal Tutor** 

Student Staff Committee

Course Representative

Students' Union Executive Officers

You can also contact the following services for further information and advice:

<u>Student Conduct and Complaints Team</u> – You can contact them for more information about the complaints process. Their email address is <u>complaints@le.ac.uk</u>, and their phone number is <u>+44 (0)116 252 2605</u>.

<u>Student Counselling & Wellbeing Service</u> – If you feel that you need mental health support, the University offers a counselling service for students. You can book an appointment by filling in their <u>online form</u>. You can also contact them on +44 (0)116 223 1780 or email them at <u>wellbeing@le.ac.uk</u>.

# **Useful links**

University's information about Student Feedback and Complaints Procedure

Senate Regulation 12: Regulations Governing Student Complaints.

Office of the Independent Adjudicator (OIA) webpage.

Housing Advice from the Advice Team (Leicester Students' Union)

leicesterunion.com/advice

Advice Service advice.le.uk 0116 223 1132

